

# The Journal of Pediatrics

Abril 2020 Dra Andrea Remache.

# Mortality and Neurodevelopmental Outcomes in the Heart Rate Characteristics Monitoring Randomized Controlled Trial

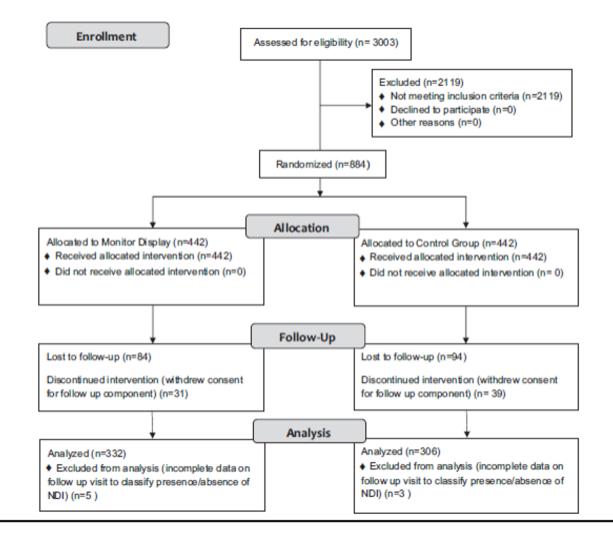
Robert L. Schelonka, MD<sup>1</sup>, Waldemar A. Carlo, MD<sup>2</sup>, Charles R. Bauer, MD<sup>3</sup>, Myriam Peralta-Carcelen, MD<sup>2</sup>, Vivien Phillips, BSN<sup>2</sup>, Jennifer Helderman, MD<sup>4</sup>, Christina T. Navarrete, MD<sup>4</sup>, J. Randall Moorman, MD<sup>5</sup>, Douglas E. Lake, PhD<sup>5</sup>, John Kattwinkel, MD<sup>5</sup>, Karen D. Fairchild, MD<sup>5</sup>, and T. Michael O'Shea, MD<sup>6</sup>

- **Objective:** To test whether the composite outcome of death or neurodevelopmental impairment (NDI) at 18-22 months corrected age for infants <1000 g at birth is decreased by continuous monitoring of heart rate characteristics during neonatal intensive care.
- **Study design:** subset of participants enrolled in a multicenter RCT of heart rate characteristics monitoring. Survivors were evaluated at 18-22 months corrected age with a standardized neurologic examination and the Bayley Scales of Infant Development-III (BSID-III).

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 All ELBW infants (<1000 g) in the heart rate characteristics trial enrolled at these 3 sites were eligible to participate in the current study: University of Alabama at Birmingham, Wake Forest University, and the University of Miami.



	Outcome known		Outcome not known			Comparison	
	Display	Control		Display	Control		
Variables	(n = 322)	(n = 306)	P value 1	(n = 120)	(n = 136)	P value 1	P value 2
Baseline							
Birth weight, g	$746 \pm 154$	723 ± 152	.05	$811 \pm 140$	$779 \pm 148$	.07	.0001
Gestational age, wk	26 ± 2.1	$26 \pm 1.9$	.3	$27 \pm 2.1$	$27 \pm 2.1$	.11	.0001
Male	172 (53.4)	153 (50.0)	.42	59 (49.2)	57 (41.9)	.26	.08
Maternal choricamnionitis	37 (11.5)	37 (12.1)	.9	10 (8.3)	17 (12.5)	.31	.64
Antenatal steroids	256 (79.5)	239 (78.1)	.69	83 (69.2)	98 (72.1)	.68	.01
Neonatal outcomes*							
Apgar at 5 min of <7	112/319 (37.1)	118/302 (37.0)	1.0	38 (32.2)	46 (34.9)	.69	.35
Culture-proven sepsis	130/322 (40.4)	131/306 (42.8)	.57	42/120 (35.0)	49/136 (36.0)	.89	.11

Values are mean ± SD or number (%).

\*Total number refers to the number of infants assessed for the outcome if different from the number in that group.

- Death or NDI, the primary composite outcome, was known in 322 infants (73%) in the monitor display group and 306 infants (69%) in the control group.
- Infants in the monitor display group were on average 23 g heavier at birth than the control group (746 154 g vs 723 152 g; P = .05).

Table II. Mortality and neurodevelopmental findings at 18-22 months according to treatment assignment					
Variables	Display	Control	RR (95% CI)	P value	
Primary outcome					
Death or NDI	127/321 (39.6)	136/306 (44.4)	0.89 (0.74-1.07)	.223	
Death	79/327 (24.2)	99/309 (32.0)	0.75 (0.59-0.97)	.028	
Death or the following specific					
components of NDI GMFCS level 2-5 (moderate/severe CP)	127/321 (39.6)	136/306 (44.4)	0.89 (0.74-1.07)	.223	
Bilateral blindness	83/326 (25.5)	99/309 (32.0)	0.79 (0.62-1.02)	.079	
Deafness	90/327 (27.5)	100/309 (32.4)	0.85 (0.67-1.08)	.194	
Bayley cognitive <70	102/322 (31.7)	113/306 (36.9)	0.86 (0.69-1.07)	.179	
Bayley language <70	115/320 (35.9)	127/305 (41.6)	0.86 (0.71-1.05)	.163	
NDI and specific components of NDI,					
survivors only					
NDI	53/247 (21.5)	40/210 (19.1)	1.12 (0.78-1.63)	.561	
GMFCS level 2-5 (moderate/severe CP)	23/246 (9.4)	13/210 (6.2)	1.51 (0.78-2.9)	.223	
Bilateral blindness	4/247 (1.6)	0/210 (0)	0 (0-0)	.128	
Deafness	11/248 (4.4)	1/210 (0.5)	9.31 (1.21-71.55)	.008	
Bayley cognitive <70	23/243 (9.5)	15/207 (7.3)	1.31 (0.70-2.43)	.497	
Bayley language <70	36/241 (14.9)	28/206 (13.6)	1.01 (0.69-1.74)	.787	

Values are number/total number (%). NDL is a GMECS level of 2-5 (moderate

NDI is a GMFCS level of 2-5 (moderate/severe CP, blind, deaf, language or cognitive Bayley score <70). The RR is of the outcome in the display group as compared with the control group.

- The proportion with death or NDI did not differ between groups.
- Death was decreased from 32.0% (99/309) for infants in the control group to 24.2% (79/327) in the monitor display group.
- Infants who were blind and who had abnormal neurologic examination did not significantly differ.
- More infants in the display group were deaf: 11 in 248 (4.4%) vs 1 in 210 (0.5%; P = .008).

Table III. Mortality and additional neurodevelopmental findings at 18-22 months according to treatment assignment						
Death or the following neurodevelopmental outcomes	Display	Control	RR (95% CI)	P value		
GMFCS level 1 (mild CP)	114/325 (35.1)	134/309 (43.4)	0.81 (0.67-0.98)	.035		
GMFCS level 2-3 (moderate CP)	96/325 (29.5)	107/309 (34.6)	0.85 (0.68-1.07)	.174		
GMFCS level 4-5 (severe CP)	85/325 (26.2)	104/309 (33.7)	0.78 (0.61-0.99)	.046		
Bayley cognitive 70 – 84	106/321 (33.0)	125/306 (40.8)	0.81 (0.66-0.99)	.047		
Bayley language 70 – 84	148/320 (46.3)	164/306 (53.6)	0.86 (0.74-1.01)	.079		

Values are number/total number (%). The RR is of the outcome in the display group as compared with the control group.

- Fewer infants in the display group than those in the control group had:
  - death or mild CP (114/325 [35.1%] vs 134/309 [43.4%]; P = .035),
  - severe CP (85/325 [26.2%] vs 104/309 [33.7%]; P = .046),
  - Bayley cognitive scores of 70-84 (106/321 [33.0%] vs 125/306 [40.8%]; P = .047.

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- **Conclusion:** We found no difference in the composite outcome of death or NDI for extremely preterm infants whose heart rate characteristics were and were not displayed during neonatal intensive care.
- Two outcomes that included mortality or a specific NDI were less frequent in the displayed group.

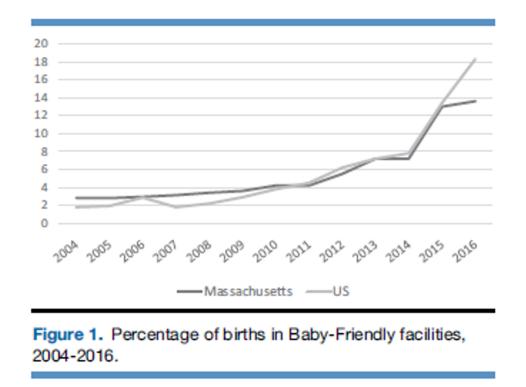
# Trends in Breastfeeding Interventions, Skin-to-Skin Care, and Sudden Infant Death in the First 6 Days after Birth

Melissa Bartick, MD, MSc, FABM<sup>1</sup>, Mary Ellen Boisvert, MSN, CLC, CLE<sup>2,\*</sup>, Barbara L. Philipp, MD, FAAP, FABM<sup>3</sup>, and Lori Feldman-Winter, MD, MPH, FAAP, FABM<sup>4</sup>

- **Objective:** To determine if implementation of skin-to-skin care and the Baby-Friendly Hospital Initiative (BFHI) contributes to sudden unexpected infant death (SUID) and asphyxia in the first 6 days after birth.
- **Study design:** survey data to determine a correlation between BFHI and deaths from SUID and asphyxia among infants <7 days in the US and Massachusetts. Using data from the CDC, implementation of BFHI was tracked from 2004-2016 and skin-to-skin care was tracked from 2007-2015. Using data from CDC and the Massachusetts Department of Public Health, SUID and asphyxia were tracked from 2004-2016.

#### TEN STEPS TO SUCCESSFUL BREASTFEEDING (JOINT WHO/UNICEF STATEMENT, 1989)

- 1. Have a written breastfeeding policy that is routinely communicated to all health care staff.
- 2. Train all health care staff in skills necessary to implement this policy.
- 3. Inform all pregnant women about the benefits and management of breastfeeding.
- 4. Help mothers initiate breastfeeding within a half-hour of birth.
- 5. Show mothers how to breastfeed, and how to maintain lactation even if they should be separated from their infants.
- 6. Give newborn infants no food or drink other than breastmilk unless medically indicated.
- 7. Practice rooming-in -allow mothers and infants to remain together -24 hours a day.
- 8. Encourage breastfeeding of demand.
- 9. Give no artificial teats or pacifiers (soothers, dummies) to breastfeeding infants.
- 10. Foster the establishment of breastfeeding support groups and refer mothers to them on discharge from the hospital or clinic.



- Nationally, the percentage of births in Baby-Friendly facilities rose from 1.8% in 2004 to 18.3% in 2016.
- In Massachusetts, the percentage of births in Baby-Friendly facilities grew from 2.8% in 2004 to 13.6% in 2016.

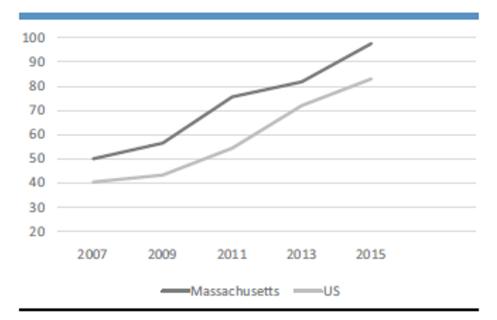


Figure 2. Percentage of maternity facilities in which most dyads experience skin-to-skin care in the first hour.

 The percentage of hospitals where "most" mother-infants pairs experienced skin-to-skin contact for at least 30 minutes within 1 hour of an uncomplicated vaginal birth rose: Nationally: from 40.4% in 2007 to 83.0% in 2015.
Massachusetts: from 50% in 2007 steadily to 97.8% in 2015.

Table. SUID prevalence characteristics, infants <7 days of age, US and Massachusetts, 2004-2016					
	2004-2009	2010-2016	OR 2010-2016 compared with 2004-2009	95% CI	
US SUID prevalence	0.033	0.028	0.85	(0.77, 0.94)	
US ASSB prevalence	0.002	0.003	1.41	(1.02, 1.94)	
US preterm SUID prevalence	0.014	0.011	0.74	(0.64, 0.87)	
% SUID that are preterm, US	43.7%	38.0%	0.74	(0.64, 0.87)	
%. SUID are non-Hispanic white, US	52.7% (54.2%)	53.3% (46.2%)	1.17	(0.96, 1.42)	
(% of US births that are non-Hispanic white)					
% SUID are non-Hispanic black US	39.0% (14.4%)	21.8% (14.8%)	0.56	(0.45,0.69)	
(% of US births that are non-Hispanic Black)					
SUID prevalence non-Hispanic white	0.030	0.032	1.08	(0.94, 1.24)	
SUID prevalence non-Hispanic Black	0.089	0.036	0.40	(0.34, 0.49)	
MA SUID prevalence	unreliable	unreliable	0.32	(0.13, 0.82)	
MA ASSB prevalence	0	0	0	Not applicable	

Prevalence is noted as "unreliable" if the numerator is <20, making a calculation of prevalence unreliably precise by CDC standards. Prevalence rates are per 1000 live births.

- The SUID prevalence decreased between the 2 study periods.
- Overall from 2004-2016, 40.1% of national SUID deaths were in preterm infants.
- A disproportionately high percentage of SUID deaths during the first 6 days after birth were in non-Hispanic black infants, although this dropped markedly during the study period.

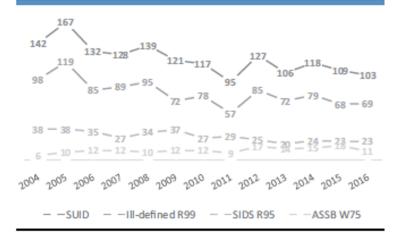


Figure 3. Trends in US deaths per year among infants <7 days of age, 2004-2016. Total deaths are shown rather than prevalence because of the very low numbers of ASSB deaths, preventing a reliably precise calculation. Total births increased from 4 112055 in 2004 to 4316213 in 2007, then declined to 3 945 875 in 2016.

- Only 0.72% of neonatal deaths from 2004-2016 were due to SUID in infants in the first 6 days after birth.
- The total number of Massachusetts SUID deaths within 6 days after birth over this 13-year period is very small (n = 22). There were zero ASSB deaths during this 13-year period.

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• **Conclusion:** Increasing rates of breastfeeding initiatives and skin-to-skin care are temporally associated with decreasing SUID prevalence in the first 6 days after birth in the US and Massachusetts. (J Pediatr 2019;-:1-5)

#### Predictors of Mortality after Primary Discharge from Hospital in Patients with Esophageal Atresia

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- **Objective:** establish risk factors for mortality in patients with esophageal atresia, focus on risk factors that contribute to death after the primary discharge from hospital.
- **Study design:** retrospective cohort from The Royal Children's Hospital, Melbourne (1980-2018), with esophageal atresia who subsequently died. Mortality before discharge was defined as death during the initial admission.

Table I. Characteristics of the mortality beforedischarge and mortality after discharge groups						
Mortality beforeMortality after dischargeCharacteristicsdischarge (n = 66)(n = 22)P value						
Age at death	7 d (0-165 d)	16 mo (3-358 mo)	<.001			
Age of mothers, y	31.5 (17-43)	25 (17-37)	.02			
Prematurity	65.6% (42/64)	55% (11/20)	.54			
Gestational age, wk	34.5 (25-42)	36 (30-40)	.22			
Low birth weight	31.3% (20/64)	5.0% (1/20)	.02			
Birth weight, g	1895 (660-4100)	2018 (1386-4005)	.08			
Male:female	35:31	13:9	.63			

Values are median (range) unless otherwise specified.

#### 88/650 patients died (total mortality rate 13.5%) 66/88 (75%) occurred during initial admission (before discharge)

Table II. Numbers of mortality before discharge and mortality after discharge deaths by time period						
Groups	1980-1989	1990-1999	2000-2009	2010-2018		
Mortality before discharge deaths	22	19	15	10		
Mortality after discharge deaths	8	4	4	6		
Total patients Mortality rate	153 19.6%	157 14.6%	166 11.4%	174 9.2%		

Mortality rates by time epoch

Table III. Causes of death in the morta discharge group $(n = 17)$	ality after
Causes of death	n (%)
Respiratory compromise Aspiration-related complications Tracheostomy or airways complications Croup	6 (35.3)
Sudden deaths Sudden infant death syndrome Unexpected death at >1 year old	6 (35.3)
<u>Fanconi anaemia-related deaths</u> Trauma Renal failure Plasmacytosis	2 (11.8) 1 (5.9) 1 (5.9) 1 (5.9)

Mortality after discharge 22/580 (3.8%)

**Table IV.** Distribution of esophageal atresia type within the mortality before discharge and mortality after discharge groups

Esophageal atresias	Mortality before discharge (n = 66)	Mortality after discharge (n = 22)
Type A	7 (10.6)	1 (4.5)
Type B	2 (3.0)	0 (0)
Type C	56 (84.8)	18 (81.8)
Type D	0 (0)	0 (0)
Type E	0 (0)	2 (9.1)
Other variant: Esophagobronchial fistula with tracheal atresia	1 (1.5)	0 (0)
Unrecorded	0 (0)	1 (4.5)

Values are number (%).

Mortality according Gross classification

#### Predictors of Mortality after Primary Discharge from Hospital in Patients with Esophageal Atresia

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#### **Conclusions:**

- Esophageal atresia has an overall survival rate of 83%-95%.
- Overall mortality rate 13.5% (1980s) to 9.2% (2010s).
- Early mortality (initial admission) 75% Late mortality 25% of all cases of death.
- Mortality after discharge affected 3.8% of patients.
- Leading causes: respiratory compromise, sudden unexplained deaths, and Fanconi anemia.